



SRL:SEC:SE: 2019-20/59

15 July, 2019

Manager (Listing) National Stock Exchange of India Limited Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1, G-Block, Bandra- Kurla Complex, Bandra (East) <u>Mumbai-400 051</u>

The Secretary BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai-400 001 (Symbol: SPENCERS)

(Scrip Code: 542337)

The Secretary The Calcutta Stock Exchange Limited 7, Lyons Range, Kolkata-700 001

## Sub: Statement of Investor Complaints for the guarter ended 30 June, 2019

Dear Sir,

Please find enclosed a statement on investor complaints as required to be provided under Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation 2015 for the quarter ended 30 June, 2019.

Thanking you,

Yours faithfully, For Spencer's Retail Limited

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Rama Kant (Company Secretary & Compliance Officer) FCS-4818



Encl: as above

Spencer's Retail Limited (Formerly Known as RP-SG Retail Limited) Regd. Office: Duncan House, 1st Floor, 31, Netaji Subhas Road, Kolkata-700 001 Tel: +91 33 6625 7600 Web: www.spencersretail.com CIN: L74999WB2017PLC219355

### Spencer's Retail Limited (Formerly known as RP-SG Retail Limited)

**Statement of Investor Complaints** 

1. Name of Listed Entity : Spencer's Retail Limited 2. Quarter ended : 30 June, 2019

#### Part A

Sr. No.	Particulars	Number	
1	Number of complaints received directly including scores	2	
2	Number of complaints forwarded by Investors	6	
3	Number of complaints received directly from Stock exchange	2	
4	Number of complaints received directly from NSDL	2	
5	Total Number of complaints/comments received (1+2+3+4)	12	
6	Number of complaints resolved	11	
7	Number of complaints pending	1*	
*	Since Resolved		

#### Part B

Sr.No.	Name of Complainant	Date of Complaint	Status (Resolved/pending)
1	SBI CAP SECURITIES LTD	05.04.2019	Resolved
2	BNK CAPITAL MARKETS LTD	11.04.2019	Resolved
3	MADHU JAIN	13.04.2019	Resolved
4	VINOD KUMAR JAIN	13.04.2019	Resolved
5	BOMBAY STOCK EXCHANGE LTD	15.04.2019	Resolved
6	BNK CAPITAL MARKETS LTD	16.04.2019	Resolved
7	PRADIP KUMAR SIL	20.05.2019	Resolved
8	PRADIP KUMAR SIL	28.05.2019	Resolved
9	MANDAR RAMESH SUNKERIKAR	05.06.2019	Resolved
10	YOGESH ANAND	05.06.2019	Resolved
11	YOGESH ANAND	07.06.2019	Resolved
12	MR R K JOHRI	13.06.2019	Resolved on 10 July ,2019

15-Jul-19



company Secretary

# LINK Intime

Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368 "Fort Burlow" 59C, Chowringhee Road, 3rd Floor. Room No. 5, Koikata - 700 020 Tel: +91 33 2289 0539 E-mail: kolkata@linkintume.co.in Website: www.linkintume.co.in

Date of Complaint	Complaint received from	Complaint received by	Name of the Complainant	Nature
05.04.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	SBI CAP SECURITIES LTD	NON RECEIPT OF REJECTED DRF
11.04.2019	STOCK EXCHANGE	LINK INTIME INDIA PVT. LTD	BNK CAPITAL MARKETS	DEMAT
13.04.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	MADHU JAIN	TRANSFER
13.04.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	VINOD KUMAR JAIN	TRANSFER
15.04.2019	STOCK EXCHANGE	LINK INTIME INDIA PVT. LTD	BOMBAY STOCK EXCHANGE LTD	NON RECEIPT OF DENERGER SHARE
16.04.2019	SCORES	LINK INTIME INDIA PVT. LTD	BNK CAPITAL MARKETS	DEMAT
20.05.2019	NATIONAL SECURITIES DEPOSITORY LIMITED	LINK INTIME INDIA PVT. LTD	PRADIP KUMAR SIL	DEMAT
28.05.2019	NATIONAL SECURITIES DEPOSITORY LIMITED	LINK INTIME INDIA PVT. LTD	PRADIP KUMAR SIL	DEMAT
5.06.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	MANDAR RAMESH SUNKERIKAR	TRANSFER
5.06.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	YOGESH ANAND	TRANSFER
7.06.2019	INVESTOR	LINK INTIME INDIA PVT. LTD	YOGESH ANAND	TRANSFER
3.06.2019	SCORES	SPENCER'S RETAIL LTD	MR R K JOHRI	NON-RECEIPT OF SHARE CERTIFICATE

SPENCER'S RETAIL LIMITED

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Link Intime India Pvt. Ltd. CIN U67190MH1999PTC118368 "Fort Burlow" 59C. Chowringhee Road, 3rd Floor. Room No. 5, Kolkata - 700.020 Tel - 91.33 2289.0540 Fax + 91.33 2289.0539 E-mail kolkata@linkintme.co.m Website: www.linkintme.co.m

	PARTICULARS	NUMBER
1) 1	NUMBER OF COMPLAINTS RECEIVED DIRECTLY INCLUDING SCORES	2
2) 1	NUMBER OF COMPLAINTS RECEIVED DIRECTLY FROM INVESTOR	6
The second s	NUMBER OF COMPLAINTS RECEIVED DIRECTLY FROM STOCK	2
4) 1	NUMBER OF COMPLAINT RECEIVED DIRECTLY FROM NSDL	2
TOTAL NUN	IBER OF COMPLAINTS/COMMENTS RECEIVED	12
NUMBER OF COMPLAINTS RESOLVED		12
NUMBER OF	F COMPLAINTS PENDING	NIL

For Link Intime India Pvt. Ltd. S.P.Guha Head- Kolkata Operations



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